

OCT 18 2004 12:42PM

ROCKWELL-BROOKTREE

No. 9949 P. 2

PTO/SB/96 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Conexant Systems, Inc.Application No./Patent No.: 09/491,032 Filed/Issue Date: 11/25/00

Entitled:

Conexant Systems, Inc. a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Inventors To: Rockwell Semiconductor Systems, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 9182, Frame 0343, or for which a copy thereof is attached.
2. From: Rockwell Semiconductor Systems, Inc. To: Conexant Systems, Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel 009995, Frame 0278, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Sam Talpalatsky

Signature

Sam Talpalatsky

Printed or Typed Name

IP Counsel

Title

10/18/04

Date

(858) 713-3231

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



OCT 18 2004 12:43PM

ROCKWELL-BROOKTREE

No. 9949 P. 4



21371183  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

DEC 18 199

BROWNING GROUP

DECEMBER 15, 1998

PTAS

BROMBERG & SUNSTEIN LLP  
STEVEN G. SAUNDERS  
125 SUMMER STREET  
BOSTON, MA 02110



\*100857146A\*

DOCKETED

CORRECTED  
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION  
OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA  
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD  
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY  
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.  
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,  
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/15/1998

REEL/FRAME: 9182/0343  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GURANTZ, ITZHAK

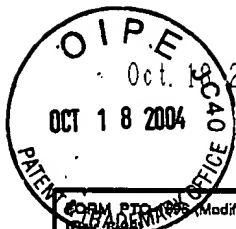
DOC DATE: 04/28/1998

ASSIGNEE:  
ROCKWELL SEMICONDUCTOR SYSTEMS,  
INC.  
4311 JAMBOREE ROAD  
NEWPORT BEACH, CALIFORNIA 92660

SERIAL NUMBER: 08988548  
PATENT NUMBER:

FILING DATE: 12/10/1997  
ISSUE DATE:

LENELL MACKALL, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



Oct 18 2004 12:43PM

ROCKWELL-BROOKTREE

No. 9949 P. 5

cket No.: 2137/103 (97RS8240)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

12-10-1998



100857146

ed original documents or copy thereof.

FORM PTO-100 (Modified)  
OMB No. 0851-0011 (exp. 4/94)  
Copyright 1994-97 LegalStar  
P08/REV02

Tab settings

MAY 15 1998

To the Honorable Commissioner of Patents

1. Name of conveying party(ies):

Itzhak Gurantz

5-15-98

Name: Rockwell Semiconductor Systems, Inc.

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other \_\_\_\_\_

Street Address: 4311 Jamboree Road

City: Newport Beach State: CA ZIP: 92660

Execution Date: April 28, 1998

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

08/988,548

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bromberg & Sunstein LLP

Internal Address: Attn: Steven G. Saunders

Street Address: 125 Summer Street

City: Boston

State: MA ZIP: 02110

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): .....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven G. Saunders

May 13, 1998



18. 2004 12:44PM

ROCKWELL-BROOKTREE

No. 9949 P. 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Gurantz Atty Docket: 2137/103 (97RSS240)  
Serial No: 08/988,548 Date: November 18, 1998  
Filed: December 10, 1997  
Invention: DIGITAL VIDEO CONVERTER BOX FOR SUBSCRIBER/HOME  
WITH MULTIPLE TELEVISION SETS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on November 18, 1998 with the United States Postal Service as first class mail in an envelope addressed to U.S. Patent and Trademark Office, Assignment Division, Box Assignments, CG-4, 1213 Jefferson Davis Hwy., Suite 320, Washington, DC 20231.

*Barbara N. Shapiro*  
Barbara N. Shapiro

U.S. Patent and Trademark Office  
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Hwy., Suite 320  
Washington, DC 20231

REQUEST FOR CORRECTED  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

Dear Sir or Madam:

Please correct the Notice of Recordation of Assignment Document (a copy of which is attached) by correcting the street name of the Assignee to "JAMBOREE" rather than "JAMOBREE."

Please amend the notice accordingly.

Respectfully submitted,

*Samuel J. Petuchowski*

Samuel J. Petuchowski  
Registration No. 37,910  
BROMBERG & SUNSTEIN LLP  
125 Summer Street, 11th Floor  
Boston, MA 02110-1618  
(617) 443-9292

76047



18.2004 12:44PM ROCKWELL-BROOKTREE

No. 9949 P. 7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Gurantz

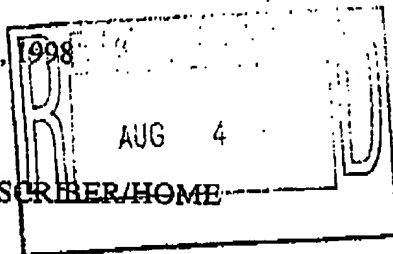
Atty Docket: 2137/103 (97RSS240)

Serial No: 08/988,548

Date: July 29, 1998

Filed: December 10, 1997

Invention: DIGITAL VIDEO CONVERTER BOX FOR SUBSCRIBER/HOME  
WITH MULTIPLE TELEVISION SETS



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on July 29, 1998 with the United States Postal Service as first class mail in an envelope addressed to U.S. Patent and Trademark Office, Assignment Division, Box Assignments, CG-4, 1213 Jefferson Davis Hwy., Suite 320, Washington, DC 20231.

*Carole A. Heath*  
Carole A. Heath

U.S. Patent and Trademark Office  
Assignment Division  
Box Assignments, CG-4  
1213 Jefferson Davis Hwy., Suite 320  
Washington, DC 20231

REQUEST FOR CORRECTED  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

Dear Sir or Madam:

Please correct the Notice of Recordation of Assignment Document (a copy of which is attached) by correcting the first name of the Assignor to "ITZHAK" rather than "ITZAK."

Please amend the notice accordingly.

Respectfully submitted,

Steven G. Saunders  
Registration No. 36,265  
BROMBERG & SUNSTEIN LLP  
125 Summer Street, 11th Floor  
Boston, MA 02110-1618  
(617) 443-9292

68542

ASSIGNMENT

ASSIGNOR: Itzhak Gurantz

ASSIGNEE: Rockwell Semiconductor Systems, Inc.  
4311 Jamboree Road  
Newport Beach, CA 92660-3095

STATE OF INCORPORATION OF ASSIGNEE: Delaware

INVENTION: DIGITAL VIDEO CONVERTER BOX FOR SUBSCRIBER/HOME  
WITH MULTIPLE TELEVISION SETS

ATTORNEY DOCKET: 2137/103 (97RSS240)

SERIAL NO: 08/988,548

FILED: December 10, 1997

Assignor is the sole inventor (if only one inventor is listed above) or a joint inventor (if more than one inventor is listed above) of the above invention (the "Invention") described in a United States patent application (the "Application") bearing the above attorney docket number and having as a title the above name for the Invention. The Application has a Patent and Trademark Office filing date and serial number as indicated above, or if no filing date and serial number are shown, has a Declaration executed by Assignor contemporaneously with this Assignment.

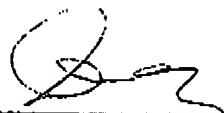
For valuable consideration, receipt of which is acknowledged, each Assignor hereby assigns to Assignee (which term shall include Assignee's successors and assigns), all of Assignor's right, title and interest in the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the

following: the Application, any divisional, continuation-in-whole, or substitute United States application which is based on the Application; or any continuation-in-part United States application (including divisions, continuations-in-whole or -in-part, and substitutions thereof or therefor) based in-part on any of the above described applications.

Each Assignor further agrees that such Assignor and Assignor's heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Each Assignor further agrees that such Assignor will, without further compensation to Assignor during the term of such Assignor's employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

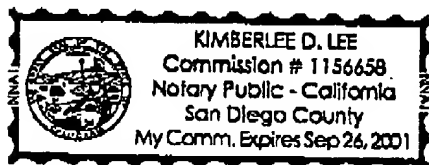
IN WITNESS WHEREOF, each Assignor hereby executes this instrument on the date set forth below.


Date: 4/28/98

  
\_\_\_\_\_  
Itzhak Gurantz, Assignor

STATE OF California )  
COUNTY OF San Diego ) s.

Before me, a notary public in and for said county and state, personally appeared Itzhak Gurantz, to me known to be the person described in the foregoing instrument, who, being first duly sworn, acknowledged his signature on the foregoing instrument in my presence and declared the same to be his free act and deed on the date written above opposite his signature.



  
\_\_\_\_\_  
Notary Public  
My commission expires: 9-26-01

(seal)

52881





18.2004 12:45PM

ROCKWELL-BROOKTREE

No. 9949 P. 11



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

AUGUST 05, 1999

BROMBERG & SUNSTEIN LLP  
STEVEN G. SAUNDERS  
125 SUMMER STREET  
BOSTON, MA 02110

PTAS

DOCKETED



\*101056463A\*

AUG 16 1999

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/03/1999

REEL/FRAME: 009995/0278  
NUMBER OF PAGES: 4

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ROCKWELL SEMICONDUCTOR SYSTEMS,  
INC.

DOC DATE: 10/14/1998

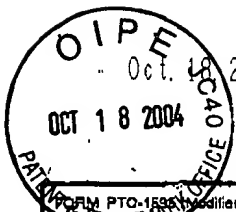
ASSIGNEE:

CONEXANT SYSTEMS, INC.  
4311 JAMBOREE ROAD  
MAIL STOP 876/510,900  
NEWPORT BEACH, CALIFORNIA 92660

SERIAL NUMBER: 08988548  
PATENT NUMBER: 5936660

FILING DATE: 12/10/1997  
ISSUE DATE: 08/10/1999

SHAREILL COLES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



Oct 18 2004 12:45PM

ROCKWELL-BROOKTREE

No. 9949 P. 12

st No.: 2137/103

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

06-07-1999



101056463

FORM PTO-159 (modified)  
MAY 1998 EDITION  
OMB No. 0651-0011 (exp. 4/94)  
Copyright 1994-97 LegalStar  
PCB/REV02

JUN 03 1999

Tab settings

To the Honorable Commissioner of Patent.

attached original documents or copy thereof.

1. Name of conveying party(ies):  
Rockwell Semiconductor Systems, Inc.

2. Name and address of receiving party(ies):

Name: Conexant Systems, Inc.Internal Address: Mail Stop 876/510,900Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other

Street Address: 4311 Jamboree RoadCity: Newport Beach State: CA ZIP: 92660Execution Date: October 14, 1998Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

08/988,548

08/988548

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven G. SaundersInternal Address: BROMBERG & SUNSTEIN LLPStreet Address: 125 Summer StreetCity: Boston State: MA ZIP: 02110

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- ☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account  
☒ Authorized to be charged to deposit account

8. Deposit account number:

19-4972

DO NOT USE THIS SPACE

06/07/1999 HTMA11 00000006 194972 08988548

Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven G. SaundersJune 1, 1999

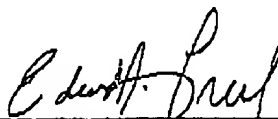


*State of Delaware*  
*Office of the Secretary of State*

---

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ROCKWELL SEMICONDUCTOR SYSTEMS, INC.", CHANGING ITS NAME FROM "ROCKWELL SEMICONDUCTOR SYSTEMS, INC." TO "CONEXANT SYSTEMS, INC.", FILED IN THIS OFFICE ON THE FOURTEENTH DAY OF OCTOBER, A.D. 1998, AT 4:30 O'CLOCK P.M.



  
Edward J. Freel, Secretary of State

2650153 8100

9692520



CERTIFICATE OF AMENDMENT  
OF  
CERTIFICATE OF INCORPORATION  
OF  
ROCKWELL SEMICONDUCTOR SYSTEMS, INC.

---

Pursuant to Section 242 of the General  
Corporation Law of the State of Delaware

---

Rockwell Semiconductor Systems, Inc., a corporation organized and existing under the General Corporation Law of the State of Delaware (the "Corporation"), does hereby certify as follows:

FIRST: Resolutions setting forth a proposed amendment to the Certificate of Incorporation of the Corporation, declaring said amendment to be advisable and directing that said amendment be submitted to the sole shareowner of the Corporation for its consideration were duly adopted by the unanimous written consent of the Board of Directors of the Corporation dated as of October 13, 1998.

SECOND: Thereafter, said amendment was approved in accordance with Section 228 of the General Corporation Law of the State of Delaware by the Consent of Sole Shareowner of the Corporation dated as of October 13, 1998.



THIRD: Said amendment would amend the Certificate of Incorporation of the Corporation by deleting Article FIRST and substituting in lieu thereof the following new Article FIRST, to read in its entirety as follows:


"FIRST: The name of the Corporation is  
Conexant Systems, Inc."

FOURTH: Said amendment was duly adopted in accordance with the provisions of Section 242 of the General Corporation Law of the State of Delaware.

FIFTH: Said amendment shall become effective immediately upon filing of this Certificate of Amendment of Certificate of Incorporation with the Secretary of State of the State of Delaware.

IN WITNESS WHEREOF, the Corporation has caused this certificate to be signed by its officer thereunto duly authorized this 14th day of October, 1998.

ROCKWELL SEMICONDUCTOR SYSTEMS, INC.

By:   
Dwight N. Decker  
President and Chief Executive  
Officer